

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification 7 :</b> <b>G01N 21/64</b>		<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 00/43752</b>
			<b>(43) International Publication Date:</b> 27 July 2000 (27.07.00)
<b>(21) International Application Number:</b> PCT/US99/19041 <b>(22) International Filing Date:</b> 19 August 1999 (19.08.99) <b>(71) Applicant:</b> ORCHID BIOSCIENCES, INC. [US/US]; 303 College Road East, Princeton, NJ 08540 (US). <b>(72) Inventor:</b> BODGANOV, Valery; 1816 Rombling Ridge Lane, Apartment 302, Baltimore, MD 21209 (US). <b>(74) Agents:</b> KALOW, David, A. et al.; Kalow & Springut LLP, 19th floor, 488 Madison Avenue, New York, NY 10022 (US).		<b>(81) Designated States:</b> AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments. Upon the request of the applicant, before the expiration of the time limit referred to in Article 21(2)(a).</i>	
<b>(54) Title:</b> HYPERSPECTRAL FLUORESCENCE IMAGING FOR THE INVESTIGATION OF NUCLEIC ACIDS			
<p>The diagram illustrates a hyperspectral fluorescence imaging apparatus. An excitation source (1) emits light through a lens (2) to a sample (7). The light is collected by a lens (3) and focused by a lens (4) onto a detector (5,10). The emission from the sample is collected by a lens (6) and focused by a lens (4) onto the detector (5,10). The detector is connected to a computer (8) via a cable (9).</p>			
<b>(57) Abstract</b> <p>The present invention provides a hyperspectral imaging apparatus and methods for employing such an apparatus for multi-dye/base detection of a nucleic acid molecule coupled to a solid surface.</p>			

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakhstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

**TITLE OF THE INVENTION**

HYPERSPECTRAL FLUORESCENCE IMAGING FOR THE INVESTIGATION OF NUCLEIC ACIDS

**FIELD OF THE INVENTION**

5           The present invention is in the field of nucleic acid sequencing, and particularly relates to the use of the fluorescent reagents in the sequencing of nucleic acid molecules. More specifically, the present invention is in the field of sequencing via fluorescent nucleic acid molecules immobilized to a microchip and apparatuses for the same.

**BACKGROUND OF THE INVENTION**

10           The most commonly used methods of nucleic acid sequencing are the dideoxy-mediated chain termination method, also known as the "Sanger Method" (Sanger *et al.*, *J. Molec. Biol.* 94:441 (1975); *see also* Prober *et al.*, *Science* 238:336-340 (1987), both herein incorporated by reference in their entirety) and the "chemical  
15           degradation method, "also known as the "Maxam-Gilbert method" (Maxam *et al.*, *Proc. Natl. Acad. Sci. (U.S.A.)* 74:560 (1977), herein incorporated by reference in its entirety). Such methods are disclosed in Maniatis *et al.*, *Molecular Cloning, a Laboratory Manual, 2nd Edition*, Cold Spring Harbor Press, Cold Spring Harbor, New York (1989); Zyskind *et al.*, *Recombinant DNA Laboratory Manual*, Academic  
20           Press, Inc., New York (1988); both herein incorporated by reference in their entirety.

          Both the dideoxy-mediated method and the Maxam-Gilbert method of DNA sequencing require the prior isolation of the DNA molecule that is to be sequenced. The sequence information is obtained by subjecting the reaction products to electrophoretic analysis (typically using polyacrylamide gels). Thus, a sample is  
25           applied to a lane of a gel, and the various species of nested fragments are separated from one another by their migration velocity through the gel.

          In response to the difficulties encountered in employing gel electrophoresis to analyze sequences, several alternative methods have been developed. In one such method, a solid phase array of nucleic acid molecules is employed. The array

5 consists of combinatorial (i.e., random or pseudo-random) nucleic acid molecules. Chetverin *et al.* provides a general review of solid-phase oligonucleotide synthesis and hybridization techniques (Chetverin *et al.*, *Bio/Technology* 12:1093-1099 (1994), herein incorporated by reference in its entirety).

10 Macevicz, for example, describes a method for determining nucleic acid sequence via hybridization with multiple mixtures of oligonucleotide probes. In accordance with this method, the sequence of a target polynucleotide is determined by permitting the target to sequentially hybridize with sets of probes having an invariant nucleotide at one position, and a variant nucleotides at other positions (U.S. Patent 5,002,867, herein incorporated by reference in its entirety). The Macevicz method  
15 determines the nucleotide sequence of the target by hybridizing the target with a set of probes, and then determining the number of sites that at least one member of the set is capable of hybridizing to the target (i.e., the number of "matches"). This procedure is repeated until each member of sets of probes has been tested.

20 Beattie *et al.* have described a protocol for the preparation of terminal amine-derivatized 9-mer oligonucleotide arrays on ordinary microscope slides (Beattie *et al.*, *Molec. Biotech.* 4:213-225 (1995), herein incorporated by reference in its entirety). These oligonucleotide arrays can hybridize DNA target strands of up to several hundred bases in length and can discriminate against mismatches.

25 Drmanac has described a method for sequencing nucleic acid by hybridization using nucleic acid segments on different sectors of a substrate and probes which discriminate between a one base mismatch (Drmanac EP 797683, herein incorporated by reference in its entirety). Gruber describes a method for screening a sample for the presence of an unknown sequence using hybridization sequencing (Gruber, EP 787183, herein incorporated by reference in its entirety).

30 In contrast to the "Sanger Method" and the "Maxam-Gilbert method," which identify the entire sequence of nucleotides of a target polynucleotide, "microsequencing" methods determine the identity of only a single nucleotide at a "predetermined" site. Such methods have particular utility in determining the presence and identity of polymorphisms in a target polynucleotide.

5           Because single nucleotide polymorphisms constitute sites of variation flanked  
by regions of invariant sequence, their analysis requires no more than the  
determination of the identity of the single nucleotide present at the site of variation; it  
is unnecessary to determine a complete gene sequence for each patient. Several  
10       methods have been developed to facilitate the analysis of such single nucleotide  
polymorphisms.

          The GBA™ Genetic Bit Analysis method disclosed by Goelet *et al.* (WO  
92/15712, herein incorporated by reference in its entirety) is a particularly useful  
microsequencing method. In GBA™, the nucleotide sequence information  
surrounding a predetermined site of interrogation is used to design an oligonucleotide  
15       primer that is complementary to the region immediately adjacent to, but not  
including, the predetermined site. The target DNA template is selected from the  
biological sample and hybridized to the interrogating primer. This primer is extended  
by a single labeled dideoxynucleotide using DNA polymerase in the presence of at  
least two, and most preferably all four chain terminating nucleoside triphosphate  
20       precursors.

          Mundy (U.S. Patent No. 4,656,127, herein incorporated by reference in its  
entirety) discusses alternative microsequencing methods for determining the identity  
of the nucleotide present at a particular polymorphic site. Mundy's method employs a  
specialized exonuclease-resistant nucleotide derivative. A primer complementary to  
25       the allelic sequence immediately 3'-to the polymorphic site is permitted to hybridize  
to a target molecule obtained from a particular animal or human. If the polymorphic  
site on the target molecule contains a nucleotide that is complementary to the  
particular exonuclease-resistant nucleotide derivative present, then that derivative  
will be incorporated by a polymerase onto the end of the hybridized primer. Such  
30       incorporation renders the primer resistant to exonuclease, and thereby permits its  
detection. Since the identity of the exonuclease-resistant derivative of the sample is  
known, a finding that the primer has become resistant to exonucleases reveals that the  
nucleotide present in the polymorphic site of the target molecule was complementary  
to that of the nucleotide derivative used in the reaction. Mundy's method has the  
35       advantage that it does not require the determination of large amounts of extraneous

5 sequence data. It has the disadvantages of destroying the amplified target sequences, and unmodified primer and of being extremely sensitive to the rate of polymerase incorporation of the specific exonuclease-resistant nucleotide being used.

Cohen *et al.* (French Patent 2,650,840; PCT Appln. No. W091/02087, both of which are herein incorporated by reference in their entirety) discuss a solution-based  
10 method for determining the identity of the nucleotide of a polymorphic site. As in the Mundy method of U.S. Patent No. 4,656,127, a primer is employed that is complementary to allelic sequences immediately 3'-to a polymorphic site. The method determines the identity of the nucleotide of that site using labeled dideoxynucleotide derivatives, which, if complementary to the nucleotide of the  
15 polymorphic site will become incorporated onto the terminus of the primer.

In contrast to the method of Cohen *et al.* (French Patent 2,650,840; PCT Appln. No. W091/02087), the GBA™ method of Goelet *et al.* can be conducted as a heterogeneous phase assay, in which the primer or the target molecule is immobilized to a solid phase. It is thus easier to perform, and more accurate than the method  
20 discussed by Cohen. The method of Cohen has the significant disadvantage of being a solution-based extension method that uses labeled dideoxynucleoside triphosphates. In the Cohen method, the target DNA template is usually prepared by a DNA amplification reaction, such as PCR, that uses a high concentration of deoxynucleoside triphosphates, the natural substrates of DNA polymerases. These  
25 monomers will compete in the subsequent extension reaction with the dideoxynucleoside triphosphates. Therefore, following the PCR reaction, an additional purification step is required to separate the DNA template from the unincorporated dNTPs. Because it is a solution-based method, the unincorporated dNTPs are difficult to remove and the method is not suited for high volume testing.

Cheesman (U.S. Patent No. 5,302,509, herein incorporated by reference in its entirety) describes a method for sequencing a single stranded DNA molecule using  
30 fluorescently labeled 3'-blocked nucleotide triphosphates. An apparatus for the separation, concentration and detection of a DNA molecule in a liquid sample has been described by Ritterband *et al.* (PCT Patent Application No. W095/17676, herein  
35 incorporated by reference in its entirety). Dower *et al.* (U.S. Patent No. 5,547,839,

5       herein incorporated by reference in its entirety) describes a filter based detection system for the simultaneous parallel sequencing of an immobilized primer using fluorescent labels.

10       The delayed extraction PinPoint MALDI-TOF mass spectrometry method is a method for determining the identity of the incorporated non-extendible nucleotide by measuring the change in mass of the extended primer (Haff *et al.*, *Genome Methods* 7:378-388 (1997), the entirety of which is herein incorporated by reference).

15       Chee *et al.* (WO95/11995, herein incorporated by reference in its entirety) describes an array of primers immobilized onto a solid surface. Chee *et al.* further describes a method for determining the presence of a mutation in a target sequence by comparing against a reference sequence with a known sequence.

20       Several primer-guided nucleotide incorporation procedures for assaying polymorphic sites in DNA have been described (Komher *et al.*, *Nucl. Acids. Res.* 17:7779-7784 (1989); Sokolov, *Nucl. Acids Res.* 18:3671 (1990); Syvinen *et al.*, *Genomics* 8:684-692 (1990); Kuppuswamy *et al.*, *Proc. Natl. Acad. Sci. (U.S.A.)* 88:1143-1147 (1991); Prezant *et al.*, *Hum. Mutat.* 1: 159-164 (1992); Ugozzoli *et al.*, *GATA* 9:107-112 (1992); Nyrén *et al.*, *Anal. Biochem.* 208:171-175 (1993); and Wallace, W089/10414, all of which are herein incorporated by reference in their entirety). These methods differ from GBA™ in that they all rely on the incorporation of labeled deoxynucleotides to discriminate between bases at a polymorphic site. In such a format, since the signal is proportional to the number of deoxynucleotides incorporated, polymorphisms that occur in runs of the same nucleotide can result in signals that are proportional to the length of the run (Syvänen *et al.*, *Amer. J. Hum. Genet.* 52:46-59 (1993), herein incorporated by reference in its entirety). Such a range of locus-specific signals could be more complex to interpret, especially for heterozygotes, compared to the simple, ternary (2:0, 1:1, or 0:2). class of signals produced by the GBA™ method. In addition, for some loci, incorporation of an incorrect deoxynucleotide can occur even in the presence of the correct dideoxynucleotide (Komher *et al.*, *Nucl Acids. Res.* 17:7779-7784 (1989)). Such deoxynucleotide misincorporation events may be due to the Km of the DNA polymerase for the mispaired deoxy- substrate being comparable, in some sequence

35

5 contexts, to the relatively poor Km of even a correctly base paired dideoxy-substrate (Komberg, A. *et al.*, In: *DNA Replication*, Second Edition (1992), W. H. Freeman and Company, New York; Tabor, S. *et al.*, *Proc. Natl. Acad. Sci. (U.S.A)* 86:4076-4080 (1989), both of which are herein incorporated by reference in their entirety). This effect would contribute to the background noise in the polymorphic site  
10 interrogation.

An alternative microsequencing approach, the "Oligonucleotide Ligation Assay" ("OLA") (Landegren *et al.*, *Science* 241:1077-1080 (1988), herein incorporated by reference in its entirety) has also been described as being capable of detecting single nucleotide polymorphisms. The OLA protocol uses two  
15 oligonucleotides which are designed to be capable of hybridizing to abutting sequences of a single strand of a target. One of the oligonucleotides is biotinylated, and the other is delectably labeled. If the precise complementary sequence is found in a target molecule, the oligonucleotides will hybridize such that their termini abut, and create a ligation substrate. Ligation then permits the labeled oligonucleotide to  
20 be recovered using avidin, or another biotin ligand. Nickerson *et al.* have described a nucleic acid detection assay that combines attributes of PCR and OLA (Nickerson *et al.*, *Proc. Natl. Acad. Sci. (U.S.A)* 87:8923-8927 (1990), herein incorporated by reference in its entirety). In this method, PCR is used to achieve the exponential amplification of target DNA, which is then detected using OLA. In addition to  
25 requiring multiple, and separate processing steps, one problem associated with such combinations is that they inherit all of the problems associated with PCR and OLA.

Boyce-Jacino *et al.* have described a method for sequencing a polynucleotide using nested GBA (U.S. Patent Application Serial No. 08/616,906, herein incorporated by reference in its entirety). In that method, an array of nested primer  
30 oligonucleotides is immobilized to a solid support. A target nucleic molecule is hybridized to the array of nested primer oligonucleotides and the hybridized array is sequenced using GBA.

Pastinen *et al.* describe a method for the multiplex detection of mutations wherein the mutations are detected by extending immobilized primers, that anneal to  
35 the template sequences immediately adjacent to the mutant nucleotide positions, with



5 a single labeled dideoxynucleotide using a DNA polymerase (Pastinen *et al.*, *Genome Res.* 7:606-614 (1997), herein incorporated by reference in its entirety). In this method, the oligonucleotide arrays were prepared by coupling one primer per mutation to be detected on a small glass area. Pastinen *et al.* have also described a method to detect multiple single nucleotide polymorphisms in an undivided sample  
10 (Pastinen *et al.*, *Clin. Chem.* 42:13191-1397 (1996), herein incorporated by reference in its entirety). According to this method, the amplified DNA templates are first captured onto a manifold and then, with multiple minisequencing primers, single nucleotide extension reactions are carried out simultaneously with fluorescently labeled dideoxynucleotides.

15 Jalanko *et al.* applied the solid-phase minisequencing method to the detection of a mutation causing cystic fibrosis (Jalanko *et al.*, *Clin. Chem.* 38:39-43 (1992), herein incorporated by reference in its entirety). In the method of Jalanko *et al.*, an amplified DNA molecule which is biotinylated at the 5' terminus is bound to a solid phase and denatured. A detection primer, which hybridizes immediately before the mutation, is hybridized to the immobilized single stranded template and elongated  
20 with a single, labeled deoxynucleoside residue. Shumaker *et al.* describes another solid phase primer extension method for mutation detection (Shumaker *et al.*, *Hum. Mutation* 7:346-354 (1996), herein incorporated by reference in its entirety). In this method, the template DNA was annealed to an oligonucleotide array, extended with  
25 <sup>32</sup>P dNTPs and analyzed with a phosphorimager. The grid position of the oligonucleotide identified the mutation site and the extended base identified the mutation.

Caskey *et al.* describe a method of analyzing a polynucleotide of interest using one or more sets of consecutive oligonucleotide primers differing within each set by  
30 one base at the growing end thereof (Caskey *et al.*, WO 95/00669, herein incorporated by reference in its entirety). The oligonucleotide primers are extended with a chain terminating nucleotide and the identity of each terminating nucleotide is determined.

35 In conventional fluorescent-based sequencing applications, the predominate method of base calling involves the use of four dye label terminators that have

5 different emission spectra (as used herein, base calling refers to identifying the identity of the nucleotide base). One such application employs laser excitation and a cooled CCD (charged coupled device) detector (Kostichka and Smith, U.S. Patent No. 5,162,654, herein incorporated by reference in its entirety) for the parallel  
10 detection of four fluorescently labeled DNA sequencing reactions during their electrophoretic separation in ultrathin (50-100 microns) denaturing polyacrylamide gels (Kostichka *et al.*, *Bio/Technology* 10:78--81 (1992), herein incorporated by reference in its entirety).

Weiss *et al.* describes another fluorescent-based sequencing application (U.S. Patent No. 5,470,710, herein incorporated by reference in its entirety). That method  
15 is an enzyme linked fluorescence method for the detection of nucleic acid molecules.

In these applications, spectral recognition of different dyes is primarily accomplished by capturing fluorescence emissions in specific spectral regions using one or more excitation wavelengths. One problem with this approach is the "cross-talk" of different dyes due to the relatively large width of dye spectra. Spectral cross-  
20 talk is one source of false recognition of dyes, resulting in base miscalling in fluorescent-based DNA sequence analysis (as used herein, the term miscalling refers to an error in identifying the identity of the nucleotide base). The miscalling rate depends primarily on the signal-to-noise ratio (SNR) and the detection system's spectral selectivity. Basically, the miscalling rate increases when the SNR decreases.  
25 Therefore, if the spectrally recognized emission is weak, the spectral selectivity of the instrument will have to be improved to lower the miscalling rate.

It has been reported that the spectral cross-talk in macroscale, gel-based DNA fluorescent sequencing has been resolved by improved dye-terminator biochemistry, optimization of filter transmission spectra and software manipulation using an  
30 instrument of relatively low spectral selectivity (Yager *et al.*, *Curr. Opinion Biotechnology* 8:107-113 (1997), herein incorporated by reference in its entirety). For example, the ABI gel sequencer (ABI, Applied Biosystems, Inc., Foster City, CA) has the capability of generating an acceptable base calling error rate of 2% (ABI Prism) using a single excitation wavelength and filter-based detection optics. In the  
35 case of microarray fluorescent detection, the spectral cross-talk problem is more

5 difficult to overcome due to the significantly smaller size of the reaction spots, which  
require high spatial resolution power and generate very limited numbers of detectable  
fluorescence photons. This miniaturization/detection problem is well-known in the  
field of DNA sequencing by microcapillary electrophoresis. Several methods,  
including the use of two excitation wavelengths (Li and Yeung, *Applied Spectroscopy*  
10 49:1528-1533 (1995), herein incorporated by reference in its entirety) and multi-  
wavelength (complete spectrum) fluorescence detection (Karger *et al.*, *Nucleic Acids*  
*Res.* 19:4955-4962 (1991), herein incorporated by reference in its entirety) have been  
developed to improve the spectral selectivity and identification in microcapillary  
multi-color sequencing.

15 Specific dye/base recognition on the microchip platform, which is considered  
to be a two-dimensional platform, is reported to be more complicated than in  
microcapillary methods, which are considered to be a one-dimensional platform. The  
two-dimensional nature of the microarray provides advantages in processing through-  
put due to the parallelism. However, it also requires a detection method that is  
20 compatible with its two-dimensional platform, in order for the through-put potential  
to be realized. There have been at least two microarray fluorescent detectors,  
including the "genescanner" from Hewlett Packard (Santa Clara, CA)(Taylor *et al.*, *J.*  
*Med. Genet.* 31: 937-94 (1994), herein incorporated by reference in its entirety) and  
a confocal scanner (General Scanning, Inc., Boston), developed using filter-based  
25 confocal optics configuration, a one-dimensional (1-D) detector (the photomultiplier  
tube, PMT) and narrow bandpass interference filters to obtain sensitive detection and  
spectral identification of array emissions. The confocal configuration is used in these  
fluorescent detection instruments to obtain high spatial resolution and to reduce  
background emission by confining the detection volume (Sandison and Webb,  
30 *Applied Optics* 33:603-615 (1994), herein incorporated by reference in its entirety).

The disadvantages of confocal microarray scanners include: 1) low through-  
put caused by the necessity of sequential, point-by-point scanning of the microarray,  
2) use of moving optical-mechanical parts for scanning, 3) use of expensive  
qualitative focusing/collection optics, 4) high power excitation requirement due to the  
35 significant loss of collected emissions in the confocal pinhole, and 5) repeated

5 scanning required for multi-color detection. Therefore, although confocal filter-based microchip scanners can be potentially used for spectral recognition of array emissions, they are inherently expensive and low through-put. In addition, for multi-color detection, photobleaching of the dyes under powerful laser excitation during repeated scans may further complicate the spectral analysis.

10 Four-color confocal fluorescence capillary array scanner sequencing apparatuses have been described (Mathies *et al.*, U.S. Patent No. 5,274,240; Kheterpal *et al.*, *Electrophoresis* 17:1852-1859 (1996), both of which are herein incorporated by reference in their entirety). Kheterpal's array scanner utilizes a single laser wavelength of 488nm to collect data from up to 25 capillaries in parallel. A  
15 capillary electrophoresis apparatus for the detection of nucleic acid sequences which employs a He-Ne laser has been described (Kambara, U.S. Patent No. 5,667,656, herein incorporated by reference in its entirety). Ulmer describes another capillary sequencing apparatus employing fluorescently labeled bases and a laser detector (Ulmer, U.S. Patent No. 5,674,743, herein incorporated by reference in its entirety).

20 Ives *et al.* describe a method for the detection of fluor-labeled (fluorescein, eosin, tetramethyl-rhodamine, Lissamine and Texas Red) dideoxynucleotides using a commercially available plate reader (Cytofluor II) (Ives *et al.*, SPIE Proceeding 2680:258-269 (1996), herein incorporated by reference in its entirety). Ives *et al.* also disclose an experimental optical setup to detect fluorescence from fluor-labeled  
25 GBA™ dideoxynucleotides which uses excitation light from an air cooled argon laser at 488 nm with collection optics consisting of a spherical collection lens, Schott filters, fiber optic collection (collectively a filter-based optics configuration), an imaging spectrometer and a 0°C thermoelectrically-cooled CCD camera. In addition, the optical system is used to detect the fluorescence emitting from a single  
30 reaction spot on a microchip.

Bogdanov *et al.* disclose the fluorescent imaging and quantification of solid support-bound nucleic acids (Bogdanov *et al.*, SPIE Proceeding 2985:129-137 (1997), herein incorporated by reference in its entirety). The Bogdanov *et al.* reference discloses direct multicolor fluorescent imaging of a GBA™ array (GBA™  
35 microchip) on a solid-state support with low background emission (glass microscope

5 slide) for simultaneous (CCD camera) and sequential (commercial FluorImagers)  
reaction spots reading at excitation of various lasers. Bogdanov *et al.* employ a filter-  
based confocal optics configuration. Two-color fluorescent images of  
oligonucleotides labeled by fluorescein and CY3 were reported, as was CCD-based  
imaging of a direct multispot GBA™ image extension detection reaction using  
10 fluorescein-labeled ddATP.

Multi-color fluorescent detection has been used in macroscale gel-based  
sequencing. The present invention provides a microscale sequencing technique and  
apparatus with significant advantages over other solid-phase sequencing techniques  
and apparatuses. These advantages include simplification of sample and reagent  
15 processing, rapid and sensitive detection, as well as compatibility with high through-  
put processing. Through strategic combinations of a highly sensitive CCD detector  
with parallel image spectrometry, hyperspectral imaging detection on SPS  
microarrays has provided for a low-cost sequence analysis technology.

#### SUMMARY OF THE INVENTION

20 The present invention provides a hyperspectral fluorescent imaging apparatus  
for microarray detection which comprises: (a) a light source, wherein the light source  
is capable of emitting a transmission beam; (b) an expansion lens; (c) a focusing lens,  
wherein the focusing lens focuses said transmission beam into a thin focus line; (d) a  
collection lens; (e) an imaging spectrometer; and (f) a detector.

25 The hyperspectral fluorescent imaging apparatus of the present invention may  
further comprise a translation stage.

The present invention further provides a method for hyperspectral imaging a  
fluorescently labeled nucleotide analog, wherein said method comprises the steps: (a)  
emitting a transmission beam from a light source; (b) expanding the transmission  
30 beam by passing said transmission beam through an expansion lens; (c) focusing the  
expanded transmission beam into a focus line by passing the expanded transmission  
beam through a focusing lens; (d) contacting the fluorescently labeled nucleotide  
analog with the focused transmission beam, wherein the contact between the focused  
transmission line and the fluorescently labeled nucleotide analog excites said

5       fluorescently labeled nucleotide analog to emit a fluorescent emission; (e) collecting the fluorescent emission with a collection lens; (f) projecting the collected fluorescent emission into an imaging spectrometer; (g) detecting the projected fluorescent emission using a detector.

### BRIEF DESCRIPTION OF THE FIGURES

10       Figure 1 provides a schematic illustration of the hyperspectral sequencing apparatus of the present invention.

Figure 2 provides an exploded illustration of the boxed region of Figure 1.

Figure 3 provides an illustration of the SPS biochemistry of the present invention.

15       Figure 4 provides a direct fluorescent image of a GBA array.

Figure 5 illustrates the fluorescent image of a GBA array manufactured on a microscope glass slide.

Figure 6 illustrates the base misclassification rate versus Signal to Noise Ratio (SNR) at different spectral selectivity.

20       Figure 7 provides the CCD fluorescent image of a fluorescent oligonucleotide array manufactured on a glass slide.

Figure 8 provides the CCD image and profile for an SPS array scan of a DNA template matching partial sequence of *p53* exon 8 gene.

### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

25       Advancements in the research and diagnostic applications of oligonucleotide arrays, such as differential display, sequencing by hybridization and primer extension genotyping, have facilitated the development of low-cost, sensitive and rapid array analysis methods. However, the processing power of the solid-phase sequencing strategy can only be fully realized through the incorporation of multi-color, direct  
30       fluorescent detection methodology. Single color primer extension, like single color Sanger sequencing, requires four separate reactions (G, A, T, C) to determine which combination of up to two bases (at heterozygous sites) are present in the target.

5 Multi-color primer extension, like four-color fluorescent sequencing, enables a single reaction to be performed with separation at the data analysis stage. Microarray-based primer extension biochemistry has been used for rapid and sensitive mutation scanning with single color fluorescent detection (Head *et al.*, *Nucleic Acids Res.* 25:5065-5071 (1997), herein incorporated by reference in its entirety).

10 The present invention provides both a hyperspectral fluorescent imaging apparatus and methods for employing such an apparatus for multi-dye/base detection on a microarray. More specifically, the present invention describes a multi-color, solid-phase, hyperspectral (complete spectrum) imaging apparatus and methods thereof which enables highly sensitive, rapid and low-cost analysis of primer  
15 extension arrays. The present invention further provides a rapid and cost-effective fluorescent detection apparatus and methods thereof with the capability of spectrally discriminating four dye labels on a high density DNA microarray. The method of the present invention has general applicability to the analysis of multi-color arrays in other tests, such as hybridization or differential display. Under one embodiment, the  
20 present invention can be used to detect a mutation in a gene that, for example, plays a causative role in diseases such as cancer (p53 and BRCA2 are two examples of such genes).

The present invention offers a significant advantage over traditional gel-based mutation detection methods in the areas of through-put, cost, reliability and  
25 operational simplicity. The present invention can accurately identify heterozygous mutations. Preferably, analysis of both strands is performed to reduce potential mis-calling. Under another preferred embodiment, genotyping of wild type DNA (as a reference strand) for comparison of background noise levels is performed to improve the ability to accurately identify "true" heterozygotes. The present invention can be  
30 used to detect the incorporation of labeled dye terminators either in solution, or on microarrays.

5           **I.       IN-LINE HYPERSPECTRAL IMAGING APARATUS**

**A.    SIGNALING AND DETECTION APARATUS**

          The present invention provides a hyperspectral (complete spectrum) detection apparatus. The preferred embodiment of the present invention, which is illustrated in Figure 1, comprises a light source (1), a set of expansion lenses (2 and 3), a focusing lens (4), a focus line (5), a nucleic acid microchip (10), a collection lens (6), an imaging spectrometer (8) having a slit (7), and a detector (9).  
10

          Preferably, the light source (1) of the present invention is capable emitting a hyperspectral (complete spectrum) emission beam. Preferably, the light source (1) of the present invention is a laser. More preferably, the light source (1) of the present invention is an Ar laser. The preferred Ar laser of the present invention is a CW Ar laser. A CW Ar laser suitable for use in the present invention is the air-cooled argon ion laser, model 532 from Omnicrome, Inc. Under alternative embodiments, other lasers, such as a He-Ne laser, a diode laser, a mode-locked Ti:sapphire laser, etc., can be used in the present invention. The light source of the present invention is preferably designed with the flexibility and the capability of shifting the excitation/detection region. This feature allows for rapid shifting of the detection region into a lower background area (red/near IR region). Thus, red/NIR dye-labeled ddNTPs can be used in the present invention.  
15  
20

          Preferably, the emission beam of the present invention passes through at least one expansion lens (2). More preferably, the emission beam of the present invention passes through at least two expansion lenses (2 and 3). The expansion lens acts to expand the emission beam from the light source. Preferably, the expansion lens of the present invention is a spherical expansion lens. Preferably, expansion lens (2) is a 20x microscope objective. Such lenses are commercially available from Nikon, Inc. Preferably, expansion lens (3) is a spherical lens with a focal length of 10 cm. Such lenses are commercially available from Newport Corp.  
25  
30

          Preferably, the emission beam of the laser of the present invention is focused by a focusing lens (4). Preferably, focusing lens (4) is a cylindrical lens with a focal length of 10 cm. Such lenses are commercially available from Newport Corp. The



5 focusing lens condenses the emission beam in a single axis. Thus, a focusing lens can be used to focus the emission beam into a thin focus line (5). By focusing the emission beam into a thin focus line, the present invention permits the excitation of multiple array sights along a single direction (e.g., array row) in parallel. Preferably, the focusing lens of the present invention is cylindrical. Under one embodiment, the focus line of the emission beam in the focus plane is approximately  $1 \times 0.02$  cm. However, it is understood that the focus line of the emission beam in the focus beam can be modified by one of skill in the art. Preferably, the focus line of the laser beam in the focus plane is of approximately the same length as the solid support upon which the sequencing reagents of the present invention are situated. Preferably, the width of the focus line of the laser beam in the focus plane is narrow enough such that the focused line of the laser beam in the focus plane can only excite one row of sequencing reagents at any given moment. Thus, the present invention provides an apparatus for the parallel hyperspectral detection emission of multiple array sites in a microchip (10).

20 A collection lens (6) can be used to project the fluorescent emission from the illuminated microchip (10) along the entrance slit (7) of an imaging spectrometer (8). Preferably, collection lens (6) is a bi-convex lens. More preferably, the bi-convex collection lens (6) has a focal length (F) of 100nm and a f/# of 1.9. Such lenses are commercially available from Newport Corp. Preferably, the collection lens of the present invention is a spherical collection lens. A spectrometer suitable for use in the present invention is the model 250 IS Imaging Spectrometer (Cromex, Inc.)

25 The multiple spectra of reaction spots on the microchip array sites in the laser illuminated area can then be simultaneously detected on the focal plane of the imaging spectrometer by a detector (9) which is capable of parallel spectral fluorescence detection. A cooled CCD detector is the preferred detector of the present invention because of its ability to detect low intensity signals (see, for example, Sheppard, *Confocal Microscopy: Basic Principles and System Performance* In: *Multidimensional Microscopy*, P.C. Cheng *et al* eds., Springer-Verlag, New York, pp. 1-51 (1994), herein incorporated by reference in its entirety). Preferably, the cooled CCD detector of the present invention has a detection area of approximately

5        1000 x 1000 pixels wherein the size of each pixel is around 9 microns. Preferably, the cooled CCD camera has a readout rate of greater than 100 KB/sec. and a signal to noise ratio of approximately 10:1 at an illumination rate of approximately 100 photons per pixel. Cooled CCD detectors with the above parameters are suitable for use in the hyperspectral parallel detection apparatus of the present invention.

10            Under a more preferred embodiment, the CCD camera of the present invention has a high detection quantum efficiency (probability of single photon detection up to 80%), a high spatial resolution (pixel size as small as 5 microns) and sensitivity in a wide range of spectral regions (visible to near IR region), which overlap the emission spectra of the most commonly used dye-labeled terminators.

15        More preferably, the CCD camera of the present invention allows the simultaneous capture of a large volume of information (detection area up to 4000 x 4000 pixels) and rapid data transfer (up to 5 Mb per second).

             Therefore, the parallel capturing of an entire microarray spectral image can be accomplished in real-time. CCD cameras suitable for use in the present invention

20        include the SPECIM- IA camera (First Magnitude Corp.). Alternatively, a photomultiplier ("PMT") with related optics modification may be used in the present invention. However, a CCD detector remains the preferred embodiment over a PMT in microchip fluorescent detection because of the CCD's compatibility with the 2-D microchip's metrics. As used herein, the phrase 2-D microchip's metrics means that

25        the microchip is a plane detection object. In other words, the metrics of the detected object (microarray) is 2 dimensional. Detection efficiency is maximized when the object and detector metrics are matched. With a CCD, it is not necessary to scan the microarray point-by-point, as in a PMT, and a CCD allows for parallel fluorescent imaging of the entire DNA chip.

30            Under a preferred embodiment, the microchip array can be translated across the excitation laser beam by use of a translation stage thereby allowing complete spectral detection of multiple spot microchip fluorescent images across the entire microchip array. The phrase "translation stage," as used herein, refers to a device that produces a linear movement of an object. Under a preferred embodiment, the present

35        invention employs an automated, single-axis translation stage to move the array and

5 illuminate the different rows of the array sites by the excitation light. A translation stage suitable for use in the present invention is the Actuator 850B, Motion controller PMC 100; (Newport Corp., Irvine, California). However, dual-axis translation stage devices are also suitable for use in the present invention.

10 Under a preferred sub-embodiment, the hyperspectral detection apparatus of the present invention employs a grating element. A grating element is a dispersive element of a spectrometer. The size and specification of the grating element is defined by the spectrometer manufacturer. A spectrometer having a grating element suitable for use in the present invention is the Imaging spectrometer 250IS (Chromex, Inc., Albuquerque, New Mexico). The Imaging spectrometer 250IS grating element  
15 uses a ruled grating with 150 groove/mm.

Preferably, the parallel imaging spectrometry apparatus of the present invention combines spatial and spectral resolution to maximize the analytical power of optical instrumentation for the detection of multiple color targets, such as the SPS microchip. In addition, the preferred parallel imaging spectrometry apparatus does  
20 not contain expensive optics, has no moving parts (except for the translation stage) and provides for highly parallel, high speed signal detection.

#### **B. The Microarray Format**

Miniaturized oligonucleotide arrays for mutation detection present a strategy for overcoming the problems associated with gel-based methods (DeRisi *et al.*,  
25 *Nature Genetics* 14:457-460 (1996), herein incorporated by reference in its entirety; Hacia *et al.*, *Nature Genetics* 14:441-447 (1996), herein incorporated by reference in its entirety; Head *et al.*, *Nucleic Acids Res.* 25:5065-5071 (1997)). The present invention offers significant advantages over gel-based sequencing methods in several areas, including sample processing simplicity, through-put and reagent cost. These  
30 advantages are realized because microarray technology allows for highly parallel analysis of samples with minimal reagent usage and purification steps. For example, a 10  $\mu$ l multiplexed PCR reaction can be hybridized simultaneously to hundreds or thousands of oligonucleotides in an array only a few millimeters in diameter. In this way, processing is performed on a "macro" scale, using standard pipettes, with the

5 information being extracted on a "micro" scale, using fluorescent imaging.

Microarray technology is presently being used to study gene expression (Schena *et al.*, *Proc. Natl. Acad. Sci. (U.S.A)* 93: 10614-10619 (1996); Schena, *Bioessays* 18: 427-431 (1996), both of which are herein incorporated by reference in their entirety), determine gene function (Shoemaker *et al.*, *Nature Genetics* 14:450-456 (1996), herein incorporated by reference in its entirety), analyze polymorphisms (Nikiforov *et al.*, *Nucleic Acids Res.* 22:4167-4175 (1994), herein incorporated by reference in its entirety) and fingerprint DNA (Salazar *et al.*, *Nucleic Acids Res.* 24:5056-5057 (1996), herein incorporated by reference in its entirety).

### 1. Array patterning and manufacture

15 The following methods are suitable for immobilizing the sequencing reagent of the present invention. Although covalent attachment methods are the preferred methods of immobilizing the sequencing reagents of the present invention, it is to be understood that the conventional non-covalent immobilization methods are also suitable for use in the present invention. Under an alternative embodiment, the sequencing reagents are in solution.

20 Holmstrom *et al.*, for example, exploit the affinity of biotin for avidin and streptavidin, and immobilize biotinylated nucleic acid molecules to avidin/streptavidin coated supports (Holmstrom *et al.*, *Anal. Biochem.* 209:278-283 (1993), herein incorporated by reference in its entirety). Another method requires the pre-coating of the polystyrene or glass solid phases with poly-L-Lys or poly L-Lys, Phe, followed by the covalent attachment of either amino- or sulfhydryl-modified oligonucleotides using bi-functional crosslinking reagents. Both methods require the use of modified oligonucleotides as well as a pretreatment of the solid phase (Running *et al.*, *Bio/Techniques* 8:276-277 (1990); Newton *et al.* *Nucleic Acids Res.* 21:1155-1162 (1993), both of which are herein incorporated by reference in their entirety).

30 Kawai *et al.* describe an alternative method in which short oligonucleotide probes were ligated together to form multimers and these were ligated into a phagemid vector (Kawai *et al.*, *Anal. Biochem.* 209:63-69 (1993), herein incorporated by reference in its entirety). The oligonucleotides were immobilized onto polystyrene

5 plates and fixed by10 UV irradiation at 254 mn. A method for the direct covalent attachment of short, 5'-phosphorylated primers to chemically modified polystyrene plates ("Covalink" plates, Nunc) has also been proposed by Rasmussen *et al.*, *Anal. Biochem.* 198:13 8-142 (1991), herein incorporated by reference in its entirety. The covalent bond between the modified oligonucleotide and the solid phase surface is created by a condensation reaction with a water-soluble carbodiimide. The Rasmussen *et al.* method concerns a predominantly 5'-attachment of the oligonucleotides via their 5'-phosphates; however, it requires the use of specially prepared, expensive plates. Maskos *et al.* describe a method to synthesize oligonucleotides directly onto a glass support (Maskos *et al.*, *Nucl. Acids Res.* 20:1679-1684 (1992), herein incorporated by reference). According to this method, a flexible linker with a primary hydroxyl group is bound to the solid support via a glycidoxypopyl silane, wherein the primary hydroxyl group serves as the starting point for the oligonucleotide synthesis. The disadvantages of this method are that the reaction is not reversible and the oligonucleotides leak from the solid surface during manipulation.

Covalent disulfide bonds have been previously used to inunobilize both proteins and oligonucleotides. Carlsson *et al* disclose a method for the reversible immobilization of thiolated proteins and peptides to an agarose bead by means of a disulfide bond (Carlsson *et al.*, *Biotech. Applied Biochem.* 14:114-120 (1991), herein incorporated by reference in its entirety). In that method, the disulfide bond is formed between a thiol containing protein and a thiol-derivatized agarose bead. The reference also discloses that the disulfide bond is reversible in the presence of an excess of dithiothreitol. Chu *et al* (*Nucleic Acids Res.* 16: 3671-3691 (1988), herein incorporated by reference in its entirety) disclose a method for coupling oligonucleotides to nucleic acids or proteins via cleaveable disulfide bonds. Prior to the coupling reaction, the oligonucleotides are modified by adding a cystamine group to the 5' phosphate by means of a phosphoramadite bond. Sliwkowski *et al* discloses a method of covalent chromatography wherein proteins are immobilized to cysteinylsuccinimidoproyl glass beads through reversible disulfide bond interaction (Sliwkowski *et al.*, *Biochem. J.* 209: 731-739 (1983), herein incorporated by

5 reference in its entirety).

Fahy *et al.* describe the synthesis of 5'-bromacetyl and 5'-thiol oligonucleotide derivatives and the covalent immobilization of these oligonucleotide derivatives via thioester bonds to sulfhydryl- and bromacetyl-modified polyacrylamide supports. The disadvantage of this method is that the covalent bond is not reversible (Fahy *et al.*, *Nucleic Acids Res.* 21: 1819-1826 (1993), herein incorporated by reference in its  
10 entirety).

Anderson *et al.* describe a novel method for immobilizing nucleic acid molecules to a solid-phase by means of a reversible, covalent disulfide bond (PCT/US98/04114, herein incorporated by reference in its entirety). In that method, a  
15 disulfide bond is formed between a thiol or disulfide containing nucleic acid molecule and a mercaptosilane coated solid surface. Shi *et al.* (U.S. Patent Application Serial No.08/870,010, herein incorporated by reference in its entirety), describe a method for immobilizing nucleic acid molecules to a solid phase by means of a covalent ether or thioether linkage. These simple, two-step methods have the specificity and  
20 efficiency needed to prepare DNA arrays suitable for use in the present invention.

Although all of the above described methods can be used to immobilize the sequencing reagent of the present invention to the solid support, the preferred embodiments for immobilizing a sequencing reagent to a solid support are disclosed by Anderson *et al.* and Shi *et al.* An additional preferred embodiment for  
25 immobilizing the sequencing reagent of the present invention is to immobilize biotinylated nucleic acid molecules to avidin/streptavidin coated supports as disclosed by Holmstrom *et al.*, *Anal Biochem.* 209:278-283 (1993), herein incorporated by reference in its entirety.

Although the microchip may be made of a variety of glass or plastic solid supports, glass is the preferred solid support. Preferably, the solid support is  
30 fashioned as a microscope slide, coverslip, a capillary tube, a glass bead or a channel. The solid support can also be a glass plate, a quartz wafer, a nylon or nitrocellulose membrane or a silicon wafer. However, the support can further be fashioned as a bead, dipstick, test tube, pin, membrane, channel, capillary tube, column, or as an  
35 array of pins or glass fibers. Although glass is the preferred solid support, the solid

5 support can also be plastic, preferably in the form of a 96-well plate or 384-well plate. Preferably, the plastic support is a form of polystyrene plastic.

Currently, 96-well polystyrene plates are widely used in solid-phase immunoassays, and several PCR product detection methods that use plates as a solid support have been described. The most specific of these methods require the  
10 immobilization of a suitable oligonucleotide probe into the microliter wells followed by the capture of the PCR product by hybridization and calorimetric detection of a suitable hapten. However, solution based methods can also be employed.

The sequencing reagents of the present invention are intended to be made into an array. As used herein, the phrase "sequencing reagent" is intended to refer to a  
15 reagent which is capable of being extended in a polymerase-mediated, template-dependent fashion. Accordingly, the phrase is intended to encompass DNA, RNA and PNA sequences or combinations thereof. The sequencing reagents of the present invention can be either synthetically or naturally made. As used herein, a natural sequencing reagent includes, but is not limited to, such reagents as a gene or fragment  
20 thereof, a cDNA molecule or fragment thereof, and an EST molecule or fragment thereof. As used herein, an array is an orderly arrangement of sequencing reagents, as in a matrix of rows and columns or spatially addressable or separable arrangement such as with coated beads. Preferably, the array is an array of nested sequencing reagents. As used herein, a nested array is an array of reagents whose sequence  
25 specific hybridization regions sequentially overlap in sequence. By using an array of nested sequencing reagents, it is possible to determine the sequence of the target nucleic acid.

With an automated delivery system, such as a Hamilton robot (e.g., Hamilton 2200 pipeting robot (Hamilton, Inc., Reno, NV)) or ink-jet printing method, it is  
30 possible to form a complex array of oligonucleotide probes on a solid support, in particular an epoxysilane, mercaptosilane or disulfidesilane coated solid support. Such methods can deliver nano to pico-liter size droplets with sub-millimeter spacing. Because the aqueous beads are well defined on such a hydrophobic surface, it is possible to create an array with a high density of oligonucleotide probes. Thus, it is  
35 possible to create arrays having greater than about 10,000 probe droplets/cm<sup>2</sup>. Such

5        arrays can be assembled through the use of a robotic liquid dispenser (such as an ink-jet printing device controlled by a piezoelectric droplet generator) such that each nucleic acid molecule occupies a spot of more than about 10 microns, preferably more than 25 microns in diameter and each nucleic acid spot is spaced no closer, center to center, than the average spot diameter. Methods and apparatuses for  
10        dispensing small amount of fluids using such ink-jet printing techniques and piezoelectric ink-jet depositions have been previously described by Wallace *et al.* (U.S. Patent No. 4,812,856), Hayes *et al.* (U.S. Patent No. 5,053,100), both of which are herein incorporated by reference in their entirety.

15        Under one embodiment, the array can be constructed using the method of Fodor *et al.* (U.S. Patent No. 5,445,934, herein incorporated by reference in its entirety). Fodor *et al.* describe a method for constructing an array onto a solid surface wherein the surface is covered with a photo-removable group. Selected regions of the substrate surface are exposed to light to as to activate the selected regions. A monomer, which also contains a photo-removable group, is provided to the substrate  
20        surface to bind to the selected area. The process is repeated to create an array. Under another preferred embodiment, the array can be created by means of a "gene pen". A "gene pen", as used herein, refers to a mechanical apparatus comprising a reservoir for a reagent solution connected to a printing tip. The printing tip further comprises a means for mechanically controlling the solution flow.

25        Under one embodiment, a multiplicity of "gene pens" or printing tips may be tightly clustered together into an array, with each tip connected to a separate reagent reservoir.

30        Under another embodiment, discrete "gene pens" may be contained in an indexing turntable and printed individually. Typically, the solid surface is pretreated to enable covalent or non-covalent attachment of the reagents to the solid surface. Preferably, the printing tip is a porous pad.

35        Alternatively, the array can be created with a manual delivery system, such as a pipetman. Because these arrays are created with a manual delivery system, these arrays will not be as complex as those created with an automated delivery system. Arrays created with a manual delivery system will typically be spaced, center to



5 center, >2 mm apart. Preferably, arrays created with a manual delivery system will be created in a 96-well or 384-well plate. Therefore, depending on the delivery system employed, it is possible to create arrays spaced, center to center, with >2 mm spacing, 0.5-2 mm spacing, 50-500  $\mu$ m spacing or >50  $\mu$ m spacing.

## II. Hyperspectral Imaging Methodology

10 The present invention provides a method for hyperspectral imaging chain-terminating nucleotide analogs used in nucleic acid sequencing. Preferably, the chain-terminating nucleotide analogs of the present invention are dideoxynucleotide analogs. Under a preferred embodiment, the chain-terminating nucleotide analogs of the present invention are delectably labeled. More preferably, the delectably labeled  
15 chain-terminating nucleotides are fluorescently labeled. Preferably, the present invention employs four differentially labeled chain-terminating nucleotide analogs. Under one embodiment, the fluorescently labeled chain-terminating nucleotide analogs are the ABI terminators Fam-ddCTP, Joe-ddATP, Tamra-ddGTP and Rox-ddTTP (Synthetic Genetics, San Diego, California). Additional florescent molecules  
20 suitable for use in the present invention include, but are not limited to, fluorescein, rhodamine, texas red, I-HEX, TET, Cy3, Cy3.5, Cy5, Cy5.5, IRD40, IRD41 and BODIPY. Preferably, the selected fluorescent dye has an emission region selected from about 530nm to about 630nm.

### A. Solid-Phase Sequencing (SPS) Methodology

25 DNA array sequence analysis methods have, for the most part, depended on hybridization to discriminate and detect non-wild type bases in the target sequence. The hybridization-based approach is inherently sensitive to small changes in hybridization conditions and can require sophisticated analysis of high numbers of oligonucleotide probes (twenty or more per base of the target sequence), under two or  
30 more sets of hybridization conditions, in order to accurately determine the nature and location of mutations in the targeted region (Hacia *et al.*, *Nature Genetics* 14:441-447

5 (1996)).

Preferably, solid-phase primer extension biochemistry, Genetic Bit Analysis, or GBA™ (Nikiforov *et al.*, *Nucleic Acids Res.* 22:4167-4175 (1994)), is employed in the present invention for nucleotide-by-nucleotide sequence analysis. This nucleotide-by-nucleotide sequence analysis strategy is achieved through the use of a template  
10 dependent polymerase-mediated extension of the hybridizing interrogation primer with labeled ddNTPs. The addition of a polymerase mediated primer extension to solid-phase analysis results in a significant increase in test accuracy and differential sensitivity over hybridization-based approaches.

Solid-phase sequencing (SPS) by GBA has been reported to provide detailed  
15 and accurate sequence analysis with a minimal number of probes per base in the target sequence (Head *et al.*, *Nucleic Acids Res.* 25:5065-5071 (1997), herein incorporated by reference in its entirety). The SPS method increases the flexibility and utility of solid-phase primer array mutation analysis by exploiting the advantages of primer extension biochemistry over differential hybridization for DNA sequence  
20 analysis. This results in a dramatic reduction in the number of probes required per target base, as compared to standard hybridization-based methods for detection and positioning of mutations (Hacia *et al.*, *Nature Genetics* 14:441-447 (1996)).

#### 1. Four-Dye Label Fluorescent SPS Strategy

Preferably, the present invention employs fluorescent detection strategies.  
25 Fluorescent detection strategies have been widely accepted as simpler and safer alternatives to the traditional radioisotope and indirect ELISA methods for detection of biomolecules. However, it is understood that the present invention can also employ traditional radioisotope detection strategies as well as indirect ELISA methods and other standard detection methodologies.

30 Fluorescent-based strategies have been reported to be viable detection methods for nucleic acid sequencing (Smith *et al.*, *Nature* 321:674-679 (1986); Prober *et al.*, *Science* 238:336-341 (1987), both of which are herein incorporated by reference in their entirety). Fluorescent detection methods provide advantages over radioisotope

5        and ELISA methods in the areas of sensitivity, safety, and compatibility with automation and operational simplicity. Most importantly, they allow multiple analyses to be resolved in the same sample by spectrally resolving different fluorescent labels.

10        Under a preferred embodiment of the present invention, the SPS array strategy is further realized by the use of four-color direct fluorescent detection technology. By using a mixture of four different dye-labeled ddNTPs in a single extension reaction, four independent extension reactions can be performed simultaneously on one array, instead of on four separate arrays with four, single dye terminator mixes (this is analogous to labeled primer sequencing requiring four lanes/sample vs. dye  
15        terminator sequencing, requiring only a single lane per sample). Detection of the extension products can then be identified by spectrally resolving the different fluorescent labels. The four-dye terminator SPS biochemistry is illustrated in Figure 3.

20        The four-color detection SPS strategy of the present invention further simplifies sample processing procedures, minimizes liquid handling requirements, reduces reagent consumption and increases the information density obtained per array per reaction. Moreover, the use of a single microarray for the analysis of extension reactions of all four bases avoids complications inherent in comparing results from independent hybridization and extension reactions on different spots and/or arrays,  
25        thus increasing the robustness and accuracy of base recognition and calling. Furthermore, direct detection of fluorescent signals from SPS arrays can be accomplished through the use of a non-confocal strategy.

#### **B.    Dye/base misclassification in fluorescent detection**

30        In fluorescent-based sequencing applications, base calling decisions can be made by comparing the detected spectral patterns (the fraction of the emission detected through different filters) of an unknown base/dye with the normalized spectral patterns of the known individual dye-labeled ddNTPs. The spectral misclassification, or the probability of miscalling, can be estimated by using Cramers'

5 inequality routine that leads to the classification formula below (Kolner, *Applied Optics* 32:806-820 (1993), herein incorporated by reference in its entirety):

$$I_d = \sum_f (n_d^f) \times \text{Ln} [(n_d^f/N_d) \times (1/p_d^f)], \text{ where } d - \text{dyes (A, C, G or T)}$$

$f$  number of emission filters

$n_d^f$  signal of  $d$  dye through  $f$  filter

10  $N_d = \sum_f n_d^f$  summary signal of  $d$  dye

$p_d^f$  pattern, normalized signal of

Individual  $d$  dye through  $f$  filter

Using this classification formula, the measurement of an unknown dye/base can be compared to the known spectral patterns to find the best match. The confidence in  
15 the accuracy of base/dye calling is highest when  $I_d$  which represents the discrepancy between the measurement and pattern, is minimized. The presence of a noise component in fluorescent signals ( $n_d^f$ ) will result in miscalling. Preferably, a computer program (e.g., MathCad software (MathSoft, Inc., Cambridge, Mass.)) that calculates,  $I_d$  statistics for varied fluorescent signal-to-noise ratio (SNR), is used to generate  
20 detection patterns for any dye-filter (d-f) combination. Preferably, the computer program would allow for the quantitative evaluation of the miscalling rate and spectral selectivity for any SNR.

Under an alternative embodiment, data-adaptive algorithms for base calling can be used in the present invention (Stoughton *et al.*, *Electrophoresis* 18:1-5 (1997),  
25 herein incorporated by reference in its entirety). Under another embodiment, the base-calling program Sax can be used in the present invention (Kheterpal *et al.*, *Electrophoresis* 17:1852-1859 (1996)).

The presence of biochemical noise caused by mis-incorporation or false priming can interfere with the base calling. The most common source of noise in  
30 GBA reactions, template independent noise (TIN), is caused by self-priming of GBA primers. TIN can be reduced by replacement of key bases (bases that function as a template in self-priming reactions) in the GBA primers with basic linkers. Using this method, it is possible to minimize TIN by >99%. Another source of noise in GBA is template-dependent noise (TDN), caused by false priming of the template. These

5 sources of noise could complicate the multi-color dye/base identification. TDN can  
be minimized by increasing the stringency of the hybridization and extension  
reactions. Variable extension efficiency and specificity from different dye  
terminators may also been seen. Optimization of the extension reaction and  
adjustment of the relative concentrations of the dye terminators may minimize the  
10 differences.

### C. Selection of spectral resolution

The selection and limitation of spectral resolution is determined by the spectral  
characteristics of the selected dyes. The ratio of the central wavelength to the width  
of the emission/absorption spectra of the four ABI dyes is approximately 15.  
15 Therefore, a relatively low spectral resolution can be used for the complete spectrum  
detection of the four ABI dyes. It is understood that different spectral decomposition  
optics (diffractive and dispersion) can be used to accomplish optimal spectral  
discrimination. Based on the separation of ABI dyes' spectra, which is approximately  
25nm, the preferred spectral resolutions range from 1 to 5nm.

### 20 D. Imaging Capacity

After the selection of spectral resolution, it is preferable to maximize the  
information capacity of array spectral imaging. For a spectral imaging region of 530-  
630nm with 2nm resolution, 50 spectral pixels are generally required. This can limit  
the number of DNA chip sites along the dispersion direction (approximately 20) in a  
standard, cooled CCD with 1000 x 1000 pixels. The limit of spectral imaging  
25 capacity has been estimated to be approximately  $10^4$  sites per  $1 \text{ cm}^2$  (approximately  
 $10^3$  in one axis x 20 in another axis) with 1:1 amplification of imaging optics if the  
size of each spot on the microarray is larger than the pixel size. Therefore, array  
spectral analysis can be complicated by geometrical and spectral image overlapping  
30 of the sites. Use of a single, point-like emission source is theoretically optimal for  
spectral detection. Because the microarray sites of the present invention are detected

5       by a focused line and not by a point light source, the spectral images of an array's sites may partially overlap. When spectral images overlap, spectral information can be lost.

10       Under a preferred embodiment, a deconvolution technique for extraction of spectral information from overlapping images can be used. This technique optimumizes the spectral imaging capacity for any spot size on an array. Deconvolution in hyperspectral imaging is a software program which corrects the detected spectra through mathematical separation of the spectra of the individual microarray spots. Thus, deconvolution helps to eliminate overlapping effects induced by microchip geometry and spectrometer optics (instrument apparatus function). In  
15       hyperspectral parallel detection, deconvolution can be used if spectral and/or spatial resolution of the imaging spectrometer results in a loss of the emission spectrum information of each site in an array.

5       **E.     Spectral Discrimination Of Dye-Labels**

Under a preferred embodiment, spectral recognition patterns for all four dye-labels from emission spectra of solid-phase bound dye-labeled oligomers are developed. The present invention can employ anywhere from 10 to 150 spectral channels. Preferably, the present apparatus employs from 20 to 100 spectral  
10 channels. More preferably, the present, sequencing apparatus employs from about 20 to about 50 spectral channels and most preferably the patterns include fifty spectral channels. The number of spectral channels is determined by the spectral detection range and selected spectral resolution. Under a preferred embodiment, the spectral detection range is 530-630nm and the selected spectral resolution is 2nm. However,  
15 it is to be understood that the spectral detection range can be broader than 530-630nm. The only limit on the spectral detection range is the availability of suitable fluorescent dyes. It is further to be understood that although the preferred spectral resolution is 2nm, spectral resolutions range from 1 to 5nm are suitable for use in the present invention.

20       These developed patterns can be applied to dye/base recognition on microarrays. A statistical analysis for the miscalling rate of all four dye-labels using fifty spectral channels is preferably performed. The results of this analysis can be applied to the optimization of spectral selectivity of the instrumentation.

25       **F.           Entire Array Hyperspectral Imaging**

Within a DNA microchip, individual reaction sites are small-size fluorescence emitters located separately in an array plane. One may consider each of these emitters as a part of the illuminated entrance slit of the spectrometer or a full collection of emitters (emitted fluorescence array) as a combination of these parts for  
30 many different spectrometers. Based on this concept, hyperspectral array imaging can be accomplished by the implementation of dispersion optics in collimated array emission and detection of spectrally dispersed fluorescence by a 2-D imaging detector (CCD). Although this approach can limit the detectable array density by the double

5 use of dispersion, it allows for simultaneous imaging, in real-time, of the entire microarray with a density of about  $10^3$  sites/cm<sup>2</sup>. Therefore, it is highly compatible with high-speed and high throughput detection of microarrays. Diffractive (grating) and disperse (prism) optics can be used in the present invention.

10 In order to increase the spectral selectivity, the present invention can also include the introduction of additional excitation and/or emission channels and the use of a microchip support with lower fluorescent background.

15 Having now generally described the invention, the same will be more readily understood through reference to the following examples which are provided by way of illustration, and are not intended to be limiting of the present invention, unless specified.

#### EXAMPLE 1

##### Direct fluorescent image of a GBA array

20 Target template is hybridized onto an array, with GBA primer extension reactions being performed with fluorescein-labeled dideoxy nucleotides using standard GBA protocol (Nikiforov *et al.*, *Nucleic Acids Res.* 22:4167-4175 (1994), herein incorporated by reference in its entirety). The GBA signals are detected by exciting the extended oligonucleotide array with a CW Ar laser (excitation wavelength 488nm, power density 10mW/cm<sup>2</sup>) and capturing the fluorescent emissions using a cooled CCD camera (SPECIM-1A, First Magnitude Corp.) with an integration of one second and 8x8 binning. Color glass OG 530 and a bandpass interference filter (530) are used to select the detection region.

25 The direct fluorescent image of a GBA array via a CCD camera is depicted in Figure 4. Upper-left panel in Figure 4 shows CCD false-color image; upper-right panel shows the CCD row reading; and the bottom panel shows the 3D contour image. Horizontal lines in the false-color array image are the interference patterns generated by interaction of excitation beam and the reflected beam (from the bottom of the slide). Spatial Fourier-transform filtering of the modulated image may be used for the elimination of background emissions (Bogdanov *et al.*, In: *Ultrasensitive Biochemical Diagnostics II*, Cohen and Soper, eds. *Proceedings of SPIE* 2985:129-

30



5 137 (1997), herein incorporated by reference in its entirety). The glass slide background forms a smooth curve underlying a composite (GBA + background) emission (upper-right panel). The background contributes approximately 30% in detected micro chip fluorescence. The signal to noise ratio (SNR) of direct fluorescent GBA imaging detection is approximately 10:1.

10 Fluorescent imaging of high-density arrays

An entire image of a microchip array (1 x 1 cm<sup>2</sup> area) is captured by using a standard cooled CCD (1000 x 1000 pixel format, 10μ pixel size) with 1x1 amplification optics. In this case, the spatial resolution of the detection system is equal to the CCD pixel size (= 10μ). This spatial resolution is sufficient for the  
15 detection of a GBA array with a density of greater than 10,000 spots/cm<sup>2</sup>. Resolution and sensitivity of CCD fluorescent imaging for a DNA microchip is illustrated by Figure 5 which demonstrate that the CCD detector is capable of detecting 10<sup>-18</sup> M of fluorescently labeled oligo molecules with a resolution of at least 70μ.

The CCD fluorescent image of a fluorescent oligonucleotide array  
20 manufactured by ink-jet printing method is provided in Figure 5. Fluorescent-labeled oligonucleotides are dispensed by ink-jet printing and immobilized on a microscope slide. The fluorescent-labeled oligonucleotides are attached to the microscope slide using the attachment chemistry of Anderson *et al.* (Serial No. 08/812,010, filed on March 5, 1997). Alternatively, the fluorescent-labeled oligonucleotides can be  
25 attached to the microscope slide using the attachment chemistry of Shi *et al.* (U.S. Patent Application Serial No. 08/870,010). The spot spacing is 125μ center to center, the droplet volume is 0.25nL and the spot diameter is 70μ. The deposition of 0.25nL oligos solution should result in the immobilization of approximately 10<sup>-18</sup> M oligonucleotide molecules. The fluorescent image of the ink-jet printed array is  
30 captured by a SPECIM-IA CCD with 2x2 binning and an integration time of 5 seconds. Excitation is by a CW Ar laser with a power density of approximately 5 mW/cm<sup>2</sup>.

The MathCad program (MathSoft, Inc., Cambridge, Massachusetts) is used to

5 calculate the miscalling rate for an ABI sequencer. Analysis of the spectral characteristics of the four ABI dye-labels and the four bandpass filters (each with 10nm spectral width) used by the ABI sequencer (Kolner, *Applied Optics* 32:806-820 (1993)) results in a detection pattern shown in Table 1. Columns 1-4 of Table 1 show a normalized detection pattern (the product of filter transmission and dye emission spectra) for each of the four ABI dye-base/filter combinations.

Table 1				
Dye/Filter	1	2	3	4
Fam-C	0.39	0.31	0.21	0.09
Joe-A	0.25	0.4	0.25	0.1
Tamra-G	0.04	0.16	0.5	0.3
Rox-T	0.01	0.03	0.14	0.08

Computer-generated Gauss distributed random noise is introduced to the  $n_d^f$  value of the classification formula to simulate the presence of a noise component in the detected signals. The statistical calculation performed on the ABI sequencer miscalling rate is based on 10,000 tests and the results are presented in Table 2. The data in Table 2 shows that the miscalling rates (last column) increase rapidly when the noise increases. Also, there is a significant difference in the miscalling rate for different dye-bases. This difference is the result of variations in dye spectral cross-talk and spectral selectivity of ABI filters for individual dye-labels. The first column of Table 2 shows SNR values; the last column shows the number of mis-calling for a given SNR. The letters T, G, A and C in the first row indicate the bases (see Table 1, dye-base combination) that contribute to the fluorescence signal. The letters in the second row indicate the bases that do not contribute to fluorescence signals. Noise induces false classification of these bases and the frequency of the false classification determines the miscalling rate.

5

TABLE 2													
SNR	T			G			A			C			Sum.
	G	A	C	T	A	C	C	G	T	A	G	T	Mis-Class
100:4	0	0	0	0	0	0	0	0	0	0	0	0	0
100:8	86	0	0	0	0	0	5	0	0	11	0	0	102
100:12	1080	60	18	0	10	0	66	0	0	160	0	0	1394
100:16	5000	600	185	0	200	11	300	0	0	780	0	0	7076

10

Figure 6 illustrates the dependence of the miscalling rate on spectral selectivity of the detection system. This figure shows the results of statistical calculations made for Joe-A and Fam-C (ABI dye-base) using two- and four- ABI filter detection and with variable noise contribution. Higher spectral selectivity (four- filter detection) results in significantly better classification than two-filter detection with the same SNR. Analysis of the above shows that complete spectrum detection provides better analytical selectivity than does detection where the number of bandpass filters is equal to the number of dye-bases. Implementation of a detection system with high spectral selectivity is used in cases of low SNR (*i.e.*, detection of weak fluorescence). In DNA chip technology, the fluorescence signal can be limited by the number of dye-labeled ddNTP molecules available at the oligonucleotide probe site on a confined, high-density array. Based on this consideration, it is preferred that the hyperspectral detection maximizes the analytical power of the spectral instrumentation chosen as the main of the fluorescent instrumentation used in SPS microarray mutation scanning with four dye-labeled terminators.

25

#### Microarray parallel fluorescent spectrum

The complete spectral detection of a DNA microchip is performed with a commercially available Imaging Spectrometer (Cromex, Inc., Model 250 1S). A block diagram of the hyperspectral imaging apparatus is provided in Figure 1. The laser beam is focused by cylindrical lens into a thin line on the microchip surface and a collection lens projects the fluorescence of the illuminated microchip row along the

30

5        spectrometer's entrance slit. Multiple spectra of microchip sites in the laser illuminated area (parallel fluorescent spectrometry) are detected on the spectrometer's focal plane by a cooled CCD (SPECIM-1A). The microchip is moved across the excitation beam by a precise translation stage (Actuator 850B, Motion controller PMC 100; Newport Corp.), allowing complete spectral detection of the complete microchip area.

10        Figure 7 provides the parallel spectral detection of fluorescent labeled oligos immobilized onto a microscope slide in two groups of four spots with using the conditions described above. The excitation source used in this experiment is an Ar laser (488nm). The complete spectral image is captured through a 535/25 nm interference filter by a SPECIMA- 1A CCD camera with an integration time of five  
15        seconds and 2x2 binning. The array is excited by a CW Ar laser at a power density of approximately 5 mW/cm<sup>2</sup>.

## **EXAMPLE 2**

### **SPS scanning of *p53* sequence**

20        SPS primers for both strands of a 33 base region of exon 8 of the human *p53* gene are synthesized and attached to a silanized glass microscope slides. Figure 8 provides the results of SPS analysis of a synthetic DNA template hybridized to the arrayed primers and extended with an extension mix containing Klenow DNA polymerase (exonuclease free) and a mixture of dye-labeled and unlabeled ddNTPs. Each of the four lanes on the slide is extended with a ddNTP mixture containing a  
25        differently labeled base (as indicated in Figure 3) in combination with three unlabeled bases. Extension signals are detected indirectly with an anti-FITC alkaline phosphatase conjugate and Molecular Probes' ELF substrate. The image is created by 360nm illumination and a CCD capture of the emission signals. The DNA template contains an A->T transition at the position indicated by the arrow in Figure 8.

30        Similar experiments have been performed with PCR generated templates from a wild type and mutant DNA source. The utility of the SPS microarray for detection of missense, insertion and deletion mutations has been demonstrated on clinically relevant samples (Head *et al.*, *Nucleic Acids Res.* 25:5065-5071 (1997)). The results

5 of SPS mutation detection are confirmed by gel-based sequencing.

### **EXAMPLE 3**

#### **Accuracy of four dye label detection on SPS microarrays**

##### **Array format**

10 Arrays with densities of 100 primers/cm<sup>2</sup> are assembled using a Hamilton 2200 pipeting robot. Drop sizes of 20 to 30nL with 0.5-1.0 0nm spacing are used to create each array. Ink-jet printing methods are employed for higher density arrays (100-500 micron spacing).

##### **SPS primer, PCR primers and synthetic template design**

15 The synthetic templates, PCR primers and SPS primers for both strands of a 67 base region of exon 8 of the human *p53* gene are designed based upon established protocols. Synthetic templates, PCR primers and SPS primers are ordered from Research Genetics (Huntsville, AL).

##### **Attachment of primers to glass**

20 Attachment of 5' disulfide modified oligonucleotides (20-25mers with C 18 spacer arm) to glass surfaces is performed via an intermediate mercaptosilane layer using a disulfide bond exchange reaction. This attachment is obtained by a two-step treatment process of silane treatment and oligonucleotide binding. Various glass surfaces, including standard microscope glass slides and cover slips (Cel-Line Associates, Inc. Newfield, N.J.), are etched in 25% aqueous animonium hydroxide,  
25 rinsed in Milli-Q water and then in 95% ethanol. They are treated for 30 minutes in 3-mercapto-propyl-trimethoxysilane (MPTS, Aldrich Chemical Company, Inc., Milwaukee, WI) in an acidic buffer of aqueous ethanol (95% ethanol, pH 4.5). Slides

5 are cured for at least 24 hours under dry inert gas (Ar or N<sub>2</sub>) Cured slides are treated with 5'-disulfide modified oligonucleotides at a 2 to 10 $\mu$ M concentration in pH 9.0 carbonate buffer (500mM) and incubated overnight at room temperature. A disulfide exchange reaction between the oligonucleotide 5' RS-group [R=DMTO (CH<sub>2</sub>)<sub>6</sub>] and the available thiol of the mercaptosilane yields a disulfide bond between the  
10 oligonucleotide and the silane layer.

#### Solid-phase hybridization and extension reaction

Hybridization salts containing 1. 5mM NaCl, 10mM EDTA, 1 mM cetyltrimethylammonium bromide (CTAB) and template strands are applied to the glass surface on which the primer has been immobilized. The hybridization reaction is  
15 performed at room temperature for 10 to 30 minutes, followed by a TNT (10 mM Tris-HCl, pH 7.5; 150 mM NaCl; 0.05% polyethoxysorbitan 20 (TWEEN 20) wash. After hybridization, polymerase extension mix applied to the surface. The standard extension mix, containing 1.5 $\mu$ M of four ABI dye-labeled ddNTPs, Klenow polymerase (exonuclease free); 20mM Tris-HCl, pH 7.5; 10mM MgCl<sub>2</sub>; 25mM NaCl;  
20 10mM MnCl<sub>2</sub>, is applied to the SPS arrays for 5-15 minutes, followed by a TNT wash. The template strands are then stripped from the extended GBA primers by washing with 0.1 N NaOH, followed by an additional TNT wash. Extension conditions can be optimized if needed. Factors such as temperature, time of the extension reaction, buffers, enzyme concentration and relative concentrations of each dye terminator can  
25 be varied in order to optimize SNR, generate uniform signals and provide reproducible results.

#### Fluorescence detection on solid-phase

After extension, SPS signals are immediately detected with the fluorescent imaging method of the present invention. The quantification of the SPS reaction can  
30 done using a software program, (e.g., MTI Image) and base/dye calling results are generated.

5                   Testing of PCR templates by SPS and detecting with the developed four-dye imager

                  DNA samples from tumor biopsies containing mutations in the targeted region (at least five sites) are collected. PCR primers are designed to amplify a 100 base region covered by the SPS array. Amplification with primer pairs containing several  
10           phosphorothioated bases on one of the primers allows for efficient conversion of double stranded PCR to single stranded template by exonuclease digestion (Nikiforov *et al.*, *PCR Methods and applications* 3:285-291 (1994), herein incorporated by reference in its entirety). The PCR generated templates are analyzed by the imaging method of the present invention using SPS biochemistry in order to characterize  
15           mutations.

Testing of PCR templates by ABI sequencing

                  In order to directly compare the results of SPS sequence analysis with gel-based sequencing, each PCR template is sequenced with an ABI automated DNA sequencer using standard dye terminator chemistry. Heterozygous templates are also  
20           sequenced, as described above for SPS scanning. Sequencing gels are analyzed and compared to SPS sequence scans with respect to detection and characterization of mutations, overall accuracy of base calling and detection of mutations in heterozygous templates.

                  While the invention has been described in connection with specific  
25           embodiments thereof, it will be understood that it is capable of further modifications and this application is intended to cover any variations, uses, or adaptations of the invention following, in general, the principles of the invention and including such departures from the present disclosure as come within known or customary practice within the art to which the invention pertains and as may be applied to the essential  
30           features herein before set forth and as follows in the scope of the appended claims.

5

**WE CLAIM:**

1. A hyperspectral fluorescent imaging apparatus for microarray detection which comprises:

- (a) a light source, wherein said light source is capable of emitting a transmission beam;
- 10 (b) an expansion lens;
- (c) a focusing lens, wherein said focusing lens focuses said transmission beam into a thin focus line;
- (d) a collection lens;
- (e) an imaging spectrometer; and
- 15 (f) a detector.

2. The hyperspectral fluorescent imaging apparatus of claim 1, wherein said apparatus further comprises a translation stage.

3. The hyperspectral fluorescent imaging apparatus of claim 1, wherein said apparatus comprises a first expansion lens and a second expansion lens.

20 4. The hyperspectral fluorescent imaging apparatus of claim 1, wherein said detector is a charged coupled device.

5. The hyperspectral fluorescent imaging apparatus of claim 1, wherein said light source is a laser.

25 6. The hyperspectral fluorescent imaging apparatus of claim 5, wherein said laser is a CW Ar laser.

7. A method for hyperspectral imaging a fluorescently labeled nucleotide analog wherein said method comprises the steps:

- (a) emitting a transmission beam from a light source;
- (b) expanding said transmission beam by passing said transmission beam
- 30 through an expansion lens;
- (c) focusing said expanded transmission beam into a focus line by passing said expanded transmission beam through a focusing lens;



- 5 (d) contacting said fluorescently labeled nucleotide analog with said focused transmission beam, wherein said contact between said focused transmission line and said fluorescently labeled nucleotide analog excites said fluorescently labeled nucleotide analog to emit a fluorescent emission;
- (e) collecting said fluorescent emission with a collection lens;
- 10 (f) projecting said collected fluorescent emission into an imaging spectrometer;
- (g) detecting said projected fluorescent emission using a detector.
8. The method of claim 7, wherein said fluorescently labeled nucleotide analog is incorporated into a sequencing reagent in a polymerase-mediated, template-
- 15 dependent reaction.
9. The method of claim 8, wherein said sequencing reagent is immobilized to a solid surface.
10. The method of claim 9, wherein said immobilized sequencing reagent is immobilized as an array.
- 20 11. The method of claim 9, wherein said immobilized sequencing reagent comprise a plurality of distinct sequencing reagents.
11. The method of claim 10, wherein said array is a nested array.
12. The method of claim 9, wherein said sequencing reagent is selected from the group consisting of a DNA molecule, a RNA molecule, and a PNA molecule.
- 25 13. The method of claim 12, wherein said DNA molecule is a cDNA molecule or fragment thereof.
14. The method of claim 13, wherein said CDNA molecule is an EST molecule.
15. The method of claim 8, wherein said polymerase-mediated, template-
- 30 dependent reaction is Genetic Bit Analysis.
16. The method of claim 9, wherein said solid surface is a glass surface.
17. The method of claim 16, wherein said glass surface is selected from the group consisting of a microscope slide, a coverslip, a glass plate and a bead.

- 5
18. The method of claim 7, wherein said light source is a laser.
  19. The method of claim 18, wherein said laser is an CW Ar laser.
  20. The method of claim 7, wherein said detector is a charged coupled device.

FIG. 1

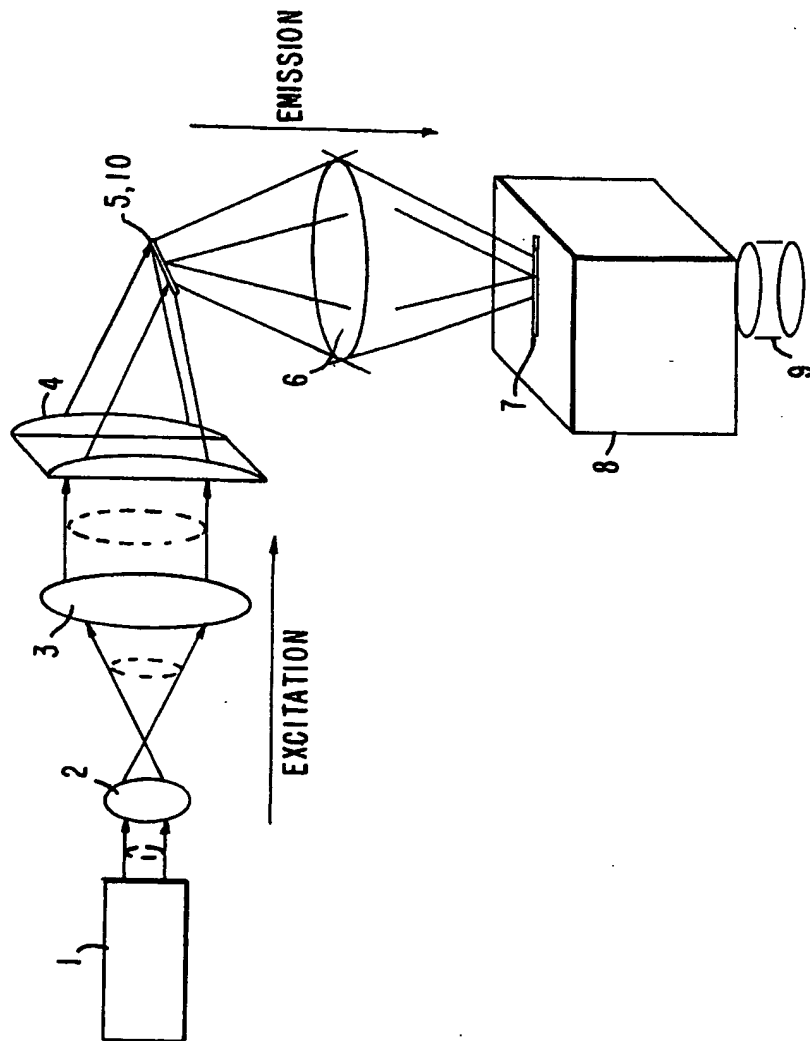
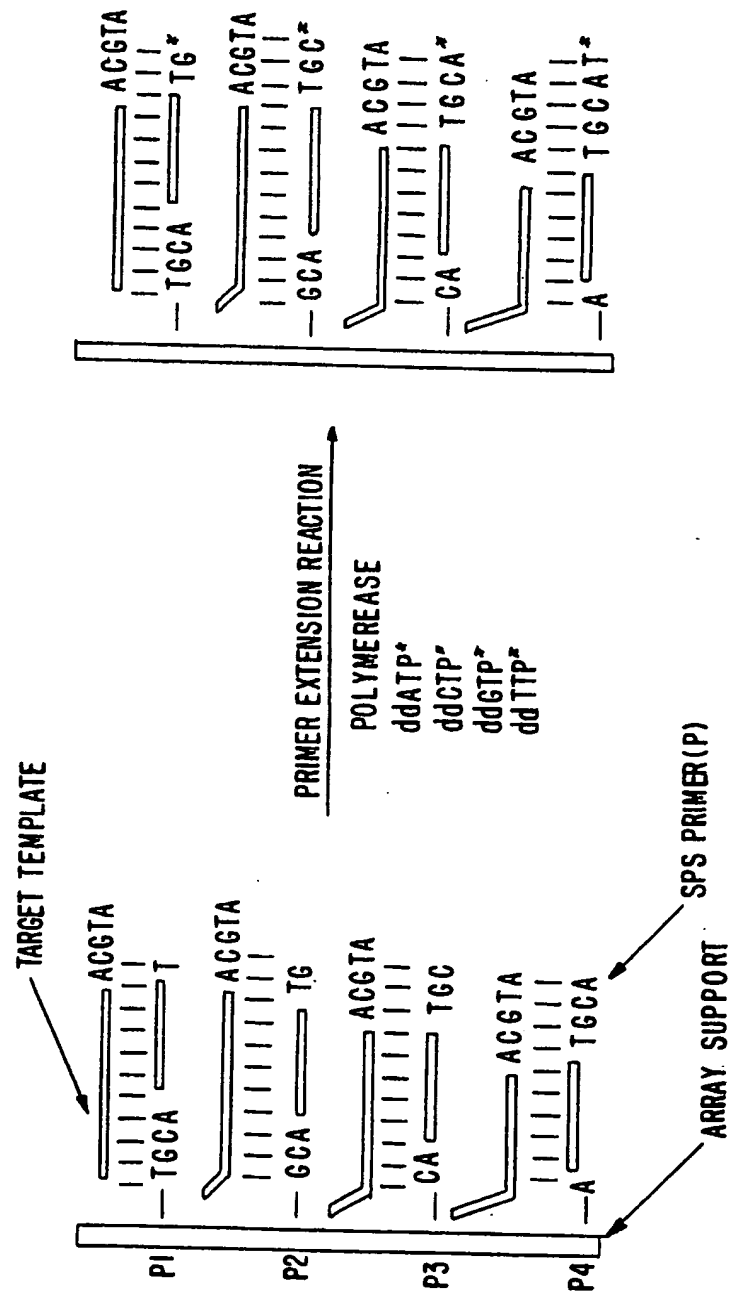


FIG. 2

NOT TAKEN INTO CONSIDERATION  
FOR THE PURPOSES  
OF INTERNATIONAL PROCESSING

FIG. 3



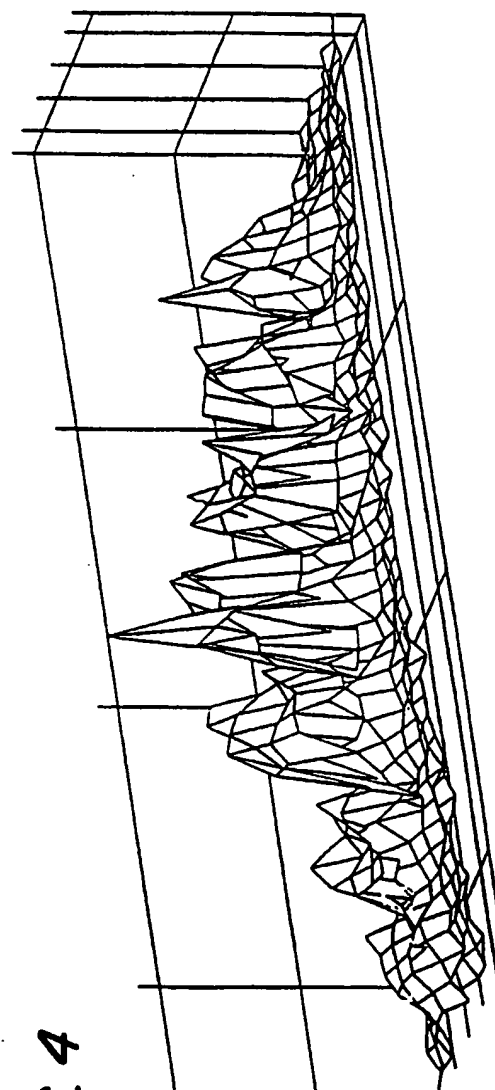
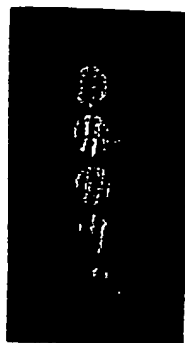
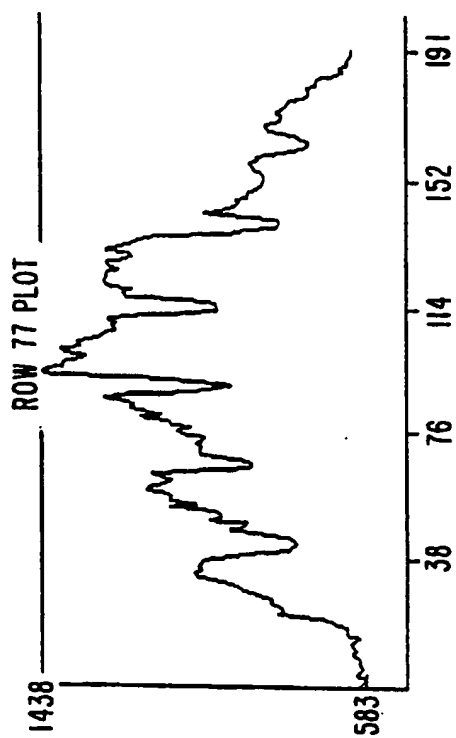


FIG. 4

FIG. 5

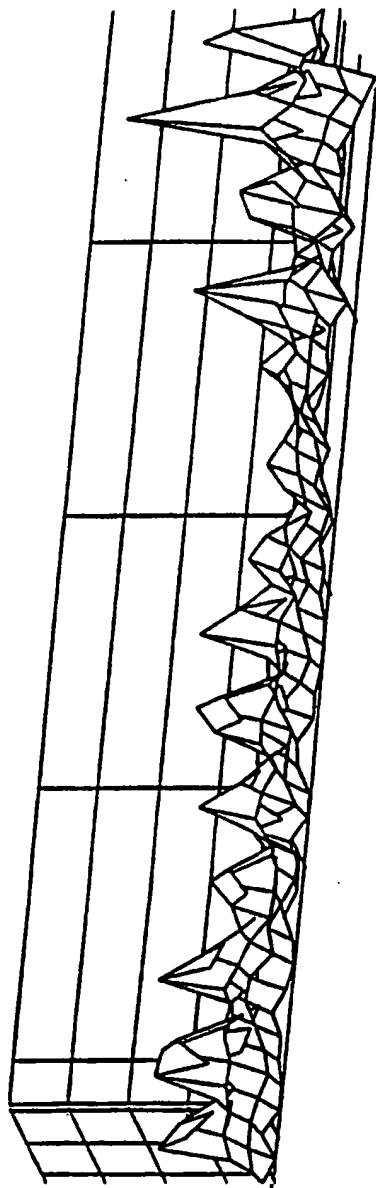
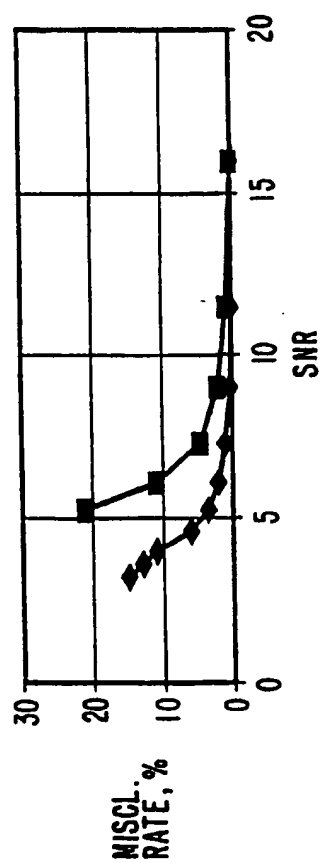


FIG. 6



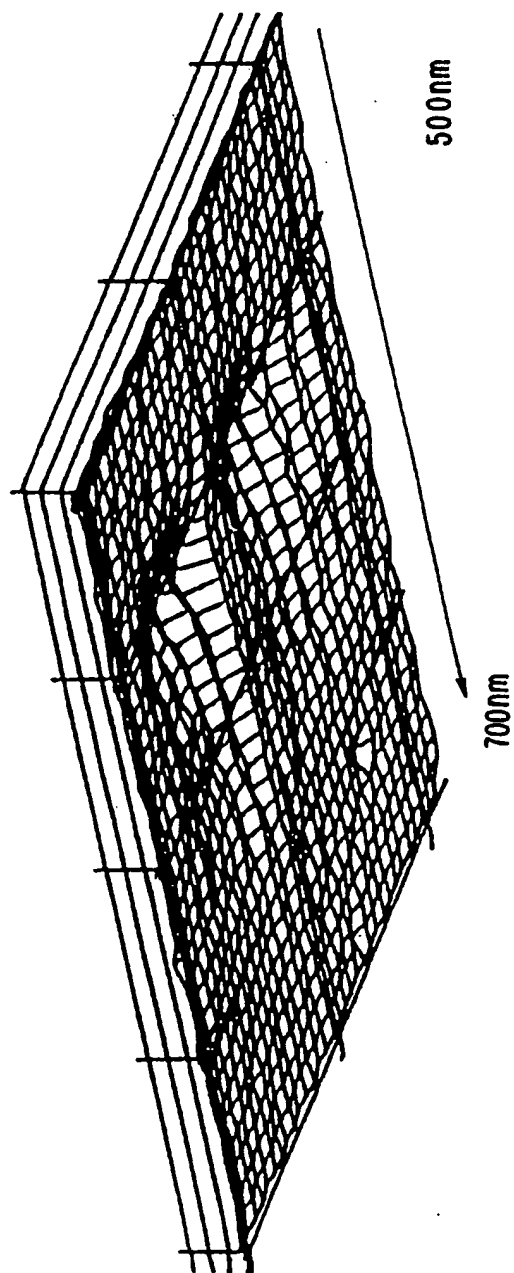


FIG. 7





# INTERNATIONAL SEARCH REPORT

Inter. nat. Application No  
PCT/US 99/19041

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC 7 G01N21/64		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) IPC 7 G01N		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used)		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 578 832 A (TRULSON) 26 November 1996 (1996-11-26) column 4, line 35 - line 67 column 5, line 18 - line 53 column 6, line 54 - line 63 column 7, line 15 - line 22 column 7, line 61 - column 8, line 2 column 8, line 31 - line 34 column 9, line 4 - line 9 column 18, line 38 - line 41 column 19, line 17 - column 20, line 2 column 20, line 32 - line 35 column 20, line 58 - last line column 22, line 39 - line 45	1-7, 18-20
Y	claims 1,2,8-13; figures 1,9 <div style="text-align: center;">--- -/-</div>	8-12, 15-17
<div style="display: flex; justify-content: space-between;"> <span><input checked="" type="checkbox"/> Further documents are listed in the continuation of box C.</span> <span><input checked="" type="checkbox"/> Patent family members are listed in annex.</span> </div>		
<b>* Special categories of cited documents :</b>		
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p><b>*A*</b> document defining the general state of the art which is not considered to be of particular relevance</p> <p><b>*E*</b> earlier document but published on or after the international filing date</p> <p><b>*L*</b> document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p><b>*O*</b> document referring to an oral disclosure, use, exhibition or other means</p> <p><b>*P*</b> document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p><b>*T*</b> later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p><b>*X*</b> document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p><b>*Y*</b> document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p><b>*A*</b> document member of the same patent family</p> </div> </div>		
Date of the actual completion of the international search	Date of mailing of the international search report	
26 November 1999	12/01/2000	
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax (+31-70) 340-3018		Authorized officer  <div style="text-align: center;">Thomas, R.M.</div>

# INTERNATIONAL SEARCH REPORT

Inter. Application No

PCT/US 99/19041

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5 679 524 A (NIKIFOROV) 21 October 1997 (1997-10-21) column 7, line 36 -column 8, line 14 column 10, last paragraph -column 11, line 18; figures	8-12, 15-17
A	WO 98 08085 A (SCIENCE APPLICATIONS INTERNATIONAL) 26 February 1998 (1998-02-26) page 1, line 6 - line 7 page 2, line 34 -page 3, line 5 page 4, line 25 - line 34 page 9, line 13 - line 20 page 10, paragraph 1 page 11, line 10 - line 13 figures 4,7	1,4-7, 12,18-20

# INTERNATIONAL SEARCH REPORT

information on patent family members

Intern. Appl. Application No

PCT/US 99/19041

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 5578832	A	26-11-1996	US 5834758 A	10-11-1998
US 5679524	A	21-10-1997	US 5952174 A	14-09-1999
			AU 694187 B	16-07-1998
			AU 1840795 A	21-08-1995
			CA 2182517 A	10-08-1995
			EP 0754240 A	22-01-1997
			JP 9508527 T	02-09-1997
			WO 9521271 A	10-08-1995
WO 9808085	A	26-02-1998	US 5871628 A	16-02-1999
			AU 3986597 A	06-03-1998